



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C23C 22/83, 22/40, 22/56	A1	(11) International Publication Number: WO 96/29448 (43) International Publication Date: 26 September 1996 (26.09.96)
(21) International Application Number: PCT/US96/03307 (22) International Filing Date: 20 March 1996 (20.03.96) (30) Priority Data: 08/412,233 22 March 1995 (22.03.95) US (71) Applicant: HENKEL CORPORATION [US/US]; Suite 150, 140 Germantown Pike, Plymouth Meeting, PA 19462 (US). (72) Inventor: DOLAN, Shawn, E.; 37934 Utica Road, Sterling Heights, MI 48312 (US). (74) Agent: WISDOM, Norvell, E.; Henkel Corporation, Suite 150, 140 Germantown Pike, Plymouth Meeting, PA 19462 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: COMPOSITIONS AND PROCESSES FOR FORMING A SOLID ADHERENT PROTECTIVE COATING ON METAL SURFACES		
(57) Abstract <p>An aqueous liquid chromate free primary composition for forming a protective coating on metals, particularly aluminum, is made by reacting cobalt(II) cations, carboxylate anions, at least one other type of coordinate complexing agent for cobalt(III) cations, and an oxidizing agent in an aqueous solution in which the molar ratio of carboxylate anions to cobalt(II) cations is from 0.10 to 6.8 and the aqueous solution contains no more than 1 % of each of ammonia, ammonium ions, and nitrite ions. The primary layer formed by this or any other composition that forms a coating containing metal atoms and oxygen atoms on a metal substrate is advantageously sealed by further treatment with an aqueous solution of sodium ammonium decavanadate, optionally after an intermediate step of immersing in water for a few minutes between the primary treatment and the sealing treatment.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

COMPOSITIONS AND PROCESSES FOR FORMING A SOLID ADHERENT PROTECTIVE COATING ON METAL SURFACES

CROSS-REFERENCE TO RELATED APPLICATION

This application is a continuation-in-part of International Application PCT/US95/00205 filed 13 January 1995 and designating the United States.

BACKGROUND OF THE INVENTION

5 Field of the Invention

This invention relates to compositions and processes for forming a protective coating on metal, particularly zinc, aluminum and/or zinc and/or aluminum alloy, more particularly aluminum and/or aluminum alloy surfaces. In one embodiment of the invention, the primary coating formed is a metal oxide containing conversion coating that solid
10 and adherent, often although not always colored, provides good corrosion protection to the metal surface, and functions as an excellent base for painting or similar organic based protective coatings. The coatings formed, when applied to substrates of zinc, aluminum and their alloys that contain at least 45 % by weight of zinc and/or aluminum, can impart at least as much corrosion protection as do conventional coatings formed by use of hexa-
15 valent chromium containing compositions, but the compositions and processes for forming a primary coating according to this invention cause less potential environmental damage because they do not need hexavalent chromium or other identified major pollutants.

In another embodiment of the invention, the corrosion protective effect of a primary coating is further enhanced by a sealing treatment. The sealing treatment is very
20

effective on primary coatings according the other embodiment of the invention, but is not limited thereto; it may be advantageously applied to a wide variety of primary coatings, including those formed by anodization as well as those formed by chemical conversion. The sealing treatment is especially advantageous for surfaces that are not intended to receive any further protective organic based coating such as paint or the like, but is also useful for substrates that are to be further protected in this way.

Discussion of Related Art

The published art believed to be most closely related is that described in WO94/00619, particularly Table II thereof and text related thereto. This reference teaches that high quality coatings can be formed on metal substrates by contacting them with aqueous compositions believed to contain cobalt(III) complex anions; the effective compositions are formed by reaction among cobalt(II) salts, carboxylate ions, and various other substances in the presence of an oxidizing agent. Other closely related published art includes U. S. Patent 3,905,838 of Sept. 16, 1975 to Ito and U. S. Patent 5,298,092 of Mar. 29, 1994 to Schriever.

Many of the compositions taught in this related art, although they have avoided the use of hexavalent chromium and other pollutants of the general environment, nevertheless can have adverse environmental impacts on the immediate working area for the process and any workers in this area. For example, many of the formulations previously used include high concentrations of ammonia, which causes at least a severe odor nuisance and possibly a serious health hazard to workers in the vicinity, unless expensive ventilation equipment is installed in the process area. Furthermore, the concentration of ammonia in aqueous solutions is difficult to maintain constant, as is desirable for achieving the most consistent results from the process, at the high levels previously recommended by some related art. In addition, some of the previously recommended compositions contain both nitrite ions and amines, which are generally believed to be readily capable of reacting to form nitrosamines, many of which are known carcinogens.

DESCRIPTION OF THE INVENTION

Objects of the Invention

One major object of the invention is to provide compositions and processes that have reduced adverse environmental impact compared with related previously recommended processes as described above. Another alternative object is to provide more eco-

nomical compositions and processes than those previously recommended, in particular by at least one of the following means: (i) reducing the treatment time required to form an effective protective coating and (ii) lowering the concentrations of active ingredients. Still another alternative object is to provide coatings with higher corrosion resistance, as
5 formed and/or after subsequent painting or the like.

General Principles of Description

Except in the claims and the operating examples, or where otherwise expressly indicated, all numerical quantities in this description indicating amounts of material or conditions of reaction and/or use are to be understood as modified by the word "about"
10 in describing the broadest scope of the invention. Practice within the numerical limits stated is generally preferred. Also, unless expressly stated to the contrary: percent, "parts of", and ratio values are by weight; the term "polymer" includes oligomer; the description of a group or class of materials as suitable or preferred for a given purpose in connection with the invention implies that mixtures of any two or more of the members of the group
15 or class are equally suitable or preferred; description of constituents in chemical terms refers to the constituents at the time of addition to any combination specified in the description, and does not necessarily preclude chemical interactions among the constituents of a mixture once mixed; specification of materials in ionic form implies the presence of sufficient counterions to produce electrical neutrality for the composition as a whole (any
20 counterions thus implicitly specified should preferably be selected from among other constituents explicitly specified in ionic form, to the extent possible; otherwise such counterions may be freely selected, except for avoiding counterions that act adversely to the stated objects of the invention); and the term "mole" and its variations may be applied to elemental, ionic, and any other chemical species defined by number and type of
25 atoms present, as well as to compounds with well defined molecules.

Summary of the Invention

Primary treatment compositions according to the invention are made by reaction in an aqueous solution comprising, preferably consisting essentially of, or more preferably consisting of, water and the following dissolved components:

- 30 (A) cobalt(II) cations;
- (B) carboxylate anions;
- (C) chemical species, exclusive of carboxylate anions, that form more stable coordi-

- nation bonds with cobalt(III) cations than with cobalt(II) cations; and
- (D) an oxidizing agent; and, optionally, one or more of the following components:
 - (E) nitrate ions;
 - (F) a component selected from the group consisting of alkali metal and alkaline earth metal cations; and
 - (G) fluoride and complex fluoride anions,

wherein the ratio of the number of moles of component (B) to the number of moles of component (A) in the aqueous solution prior to reaction is from 0.10 to 6.8.

Contact of an "active" metal substrate with such a primary treatment composition results in formation on the surface of the metal substrate of an adherent conversion coating containing at least cobalt and oxygen atoms and also some metal atoms from the substrate treated. (Any metal that reacts in this way is considered to be an "active metal" within the meaning of that term hereinafter.) The coating formed by contact of a metal substrate with a primary composition according to the invention as described above, which may be denoted hereinafter as a "primary coating", often contains microscopic size voids, some of which may extend down to the substrate metal. Accordingly, it has been found that the corrosion resistance of such coatings is often substantially improved by a secondary treatment, also called a "sealer" or "sealing" treatment, with an aqueous liquid composition that is believed to react to fill some or all of the voids in the coating formed by the primary treatment composition.

A particularly preferred secondary or sealing aqueous liquid composition according to this invention consists essentially of, or preferably consists of, water and vanadate ions, particularly decavanadate ions, which should be understood hereinafter to include not only ions with the chemical formula $V_{10}O_{28}^{-6}$ which are present in salts but protonated derivatives thereof having the general formula $V_{10}O_{(28-i)}(OH)_i^{-(6-i)}$, where i represents an integer from one to four, which are believed to be the predominant species present in aqueous solutions with a pH from 2 to 6. Cf. F. A. Cotton and G. Wilkinson, *Advanced Inorganic Chemistry*, 4th Ed., (John Wiley & Sons, New York, 1980), p. 712. This sealing composition is suitable for sealing any primary coating layer containing metal and oxygen, especially cobalt and aluminum oxides, not only the particularly preferred type of such a primary conversion coating layer according to the present invention as described above, but it is particularly preferred in combination with a primary coating

as described above. This sealing composition is also suitable for sealing primary coatings formed by treating metal surfaces with compositions and processes as described in any of the following U. S. Patents and copending U. S. Patent Applications, all of which, to the extent not inconsistent with any explicit statement herein, are hereby
5 incorporated herein by reference: Patents 5,089,064 of Feb. 18, 1992 to Reghi; 5,268,042 of Dec. 7, 1993 to Dolan; 5,281,282 of Jan. 25, 1994 to Dolan; and 5,342,456 of Aug. 30, 1994 to Dolan et al.; U. S. National Application Serial Numbers 08/100,533 of Jul. 30, 1993; 08/131,645 of Oct. 5, 1993; and 08/213,138 of Mar. 15, 1994; and Inter-
10 national Application No. PCT/US94/13273 designating the U. S. and filed 23 Nov. 1994. Furthermore, even for instances in which the claims of any of these patents and applica-
tions may be restricted to coatings formed by drying into place, coatings formed by treat-
ment with the compositions taught therein and subsequent rinsing also are suitable pri-
mary coatings for sealing with the sealing composition and treatment according to this
invention.

15 It has been found that in many instances results are further improved by an inter-
mediate treatment of the primarily treated surfaces with water, preferably deionized, dis-
tilled, or similarly purified water, which optionally may contain an oxidizing agent such
as nitrite ions. This intermediate treatment when used occurs between the primary and
secondary treatments described above and is preferably performed by immersion, al-
20 though other methods of contacting the surfaces with water are also suitable.

Various embodiments of the invention include working compositions for direct
use in treating metals, concentrates from which such working compositions can be pre-
pared by dilution with water and/or mixing with other concentrates, processes for treating
metals with one or more compositions according to the invention, and extended processes
25 including additional steps that usually are conventional *per se*, such as precleaning,
rinsing, and, particularly advantageously, painting or some similar overcoating process
that puts into place a protective coating containing an organic binder over the conversion
coating formed according to a narrower embodiment of the invention. Articles of manu-
facture including surfaces treated according to a process of the invention are also within
30 the scope of the invention.

Description of Preferred Embodiments

For a variety of reasons, it is preferred that compositions according to the inven-

tion as defined above should be substantially free from many ingredients used in compositions for similar purposes in the prior art. Specifically, it is increasingly preferred in the order given, independently for each preferably minimized component listed below, that primary compositions according to the invention, when directly contacted with metal in a process according to this invention, contain no more than 1.0, 0.35, 0.10, 0.08, 0.04, 0.02, 0.01, 0.001, or 0.0002, percent of each of the following constituents: hexavalent chromium, cyanide, nitrite ions, ammonia and ammonium cations, and any coordinate complexing agents that stabilize cobalt(II) more than cobalt(III) cations. Sealing compositions according to this invention, when directly contacted with metal in a process according to this invention, preferably contain, with increasing preference in the order given, no more than 1.0, 0.35, 0.10, 0.08, 0.04, 0.02, 0.01, 0.001, or 0.0002, percent of each of the following constituents: hexavalent chromium, cyanide, nitrite ions, and any coordinate complexing agents that stabilize cobalt(II) more than cobalt(III) cations. Independently, sealing compositions according to the invention preferably contain, with increasing preference in the order given, not more than 0.033, 0.030, 0.027, 0.024, 0.021, 0.018, 0.015, 0.012, 0.009, 0.006, 0.003, 0.001, or 0.0003 moles per liter (hereinafter usually abbreviated "M") of tungsten in any anionic form.

Furthermore, in a process according to the invention that includes other steps than the drying into place on the surface of the metal of a layer of a primary composition as described above, it is preferred that none of these other steps include contacting the surfaces with any composition that contains more than, with increasing preference in the order given, 1.0, 0.35, 0.10, 0.08, 0.04, 0.02, 0.01, 0.003, 0.001, or 0.0002 % of hexavalent chromium, except that a final protective coating system including an organic binder, more particularly those including primer coat, may include hexavalent chromium as a constituent. Any such hexavalent chromium in the protective coating is generally adequately confined by the organic binder, so as to avoid adverse environmental impact.

The concentration of component (A) reacted preferably is such that, in a working primary composition according to the invention, the concentration of cobalt atoms is, with increasing preference in the order given, not less than 0.001, 0.002, 0.004, 0.008, 0.016, 0.032, 0.040, 0.045, 0.050, 0.055, 0.060, 0.063, 0.066, 0.069, 0.072, 0.074, or 0.076 M and independently preferably is, with increasing preference in the order given, not more than 0.8, 0.6, 0.4, 0.2, 0.17, 0.14, 0.11, 0.090, 0.085, 0.080, or 0.078 M. The

particular counterion(s) in the salt(s) in the form of which the cobalt(II) cations usually are added to the aqueous solution in which they are reacted are not narrowly restricted, but any counterions that bind so stably to cobalt(II) that they prevent it from being oxidized to cobalt(III) during reaction with the other components should be avoided. However, in order to minimize the prospects of unwanted interference with the desired reactions, the counterions for cobalt when added to the aqueous solution in which it is reacted are preferably selected from the group consisting of nitrate ions, which have relatively weak complex forming tendencies, and carboxylate ions that are part of component (B).

Component (B) is preferably selected from the anions of unsubstituted carboxylic acids containing from 1 to 6 carbon atoms, or more preferably, with increasing preference in the order given, not more than 5, 4, 3, or 2 carbon atoms, per molecule. Acetate ions are most preferred, largely because they are less expensive than most other carboxylates. Independently, the ratio of the number of moles of component (B) to the number of moles of component (A) in solution before any reaction between them preferably is, with increasing preference in the order given, at least 0.1, 0.2, 0.4, 0.8, 1.2, 1.5, 1.8, 2.0, 2.2, 2.3, 2.4, 2.5, or 2.6 and independently preferably is, with increasing preference in the order given, not greater than 6.5, 6.0, 5.5, 5.0, 4.5, 4.0, 3.7, 3.4, 3.1, 3.0, 2.9, 2.8, or 2.7. The most preferred concentrations of carboxylate ions are thus greater than can be supplied by cobalt(II) carboxylates themselves, and for the alternative cations that serve as counterions for this "excess" carboxylate, alkaline earth metal cations, particularly magnesium and calcium, most preferably magnesium, are preferred over alkali metal cations, although the latter can also be used. The use of carboxylic acids to supply the needed amounts of carboxylate ions, although also possible within the scope of the invention, is not preferred, because such use tends to depress the pH range below the most preferred values as set forth below.

Component (C) preferably is selected from organic compounds containing at least one nitrogen atom with an unshared electron pair per molecule of compound. Hydroxy-alkyl amines, most particularly triethanol amine, are the most preferred class of materials for component (C). Independently, the ratio of molar concentration of nitrogen atoms each bearing an unshared electron pair to the molar concentration of component (A) present in solution before any reaction between them preferably is, with increasing preference in the order given, not less than 0.03, 0.06, 0.13, 0.20, 0.24, 0.26, 0.28, 0.30,

0.32, 0.34, 0.35, or 0.36 and independently preferably is, with increasing preference in the order given, not more than 2.0, 1.75, 1.50, 1.25, 1.00, 0.75, 0.60, 0.50, 0.45, 0.41, 0.39, or 0.38.

The amount and oxidizing strength of component (D) used should be sufficient
5 to cause a change in the color and/or an increase in the ultraviolet adsorption at some wavelength in the range of 160 - 450 nanometers (hereinafter abbreviated "nm") of a precursor solution containing only water, components (A), (B), and (C), and any possible reaction products among these constituents, after component (D) is added to the precursor mixture solution. Ordinary ambient air or any other source of gaseous oxygen is suitable
10 as the oxidizing agent, but for speed of preparation, convenience, and facile control of the process, soluble compounds including a peroxide and/or superoxide moiety are preferred, with peroxide more preferred and hydrogen peroxide most preferred (because it is normally the least expensive peroxide commercially available), as at least part of component (D). The ratio of the molar concentration of peroxide moieties present in the
15 solution before reaction to the molar concentration of cobalt atoms present in the solution preferably is, with increasing preference in the order given, at least 0.05, 0.10, 0.20, 0.30, 0.40, 0.45, 0.50, 0.55, 0.60, 0.65, 0.68, 0.71, or 0.73 and independently preferably is, with increasing preference in the order given, not more than 10, 7, 5, 3, 2, 1.5, 1.0, 0.95, 0.90, 0.85, 0.80, 0.77, or 0.74. However, even when peroxide is used in preparing a
20 primary composition according to the invention, it is preferable to keep the primary composition well aerated during use, by employing (i) a spray to contact the solution with the metal to be treated, (ii) a separate spray treater for aeration purposes in a process line through which the primary composition according to the invention is circulated during use, and/or (iii) sparging with air and/or oxygen gas in a container for the primary
25 composition in such a process line, which container conveniently may be the immersion tank if immersion processing is used.

The presence, particularly from the beginning of reaction, of nitrate ions in the mixture reacted to make a primary composition according to this invention is generally preferred, because it has been observed that more nearly uniform coatings on aluminum
30 are achieved by a process according to the invention in such cases. Accordingly, the ratio of the molar concentration of nitrate ions before reaction to the molar concentration of cobalt atoms in the aqueous compositions reacted to make compositions according to

this invention preferably is, with increasing preference in the order given, not less than 0.05, 0.1, 0.2, 0.4, 0.6, 0.8, 1.0, 1.2, 1.4, 1.6, 1.8, 1.9, or 1.95 and independently preferably is, with increasing preference in the order given, not more than 20, 15, 10, 5, 4, 3.5, 3.0, 2.8, 2.6, 2.4, 2.2, 2.1, or 2.05.

5 The pH value of working primary compositions according to this invention preferably is, with increasing preference in the order given, at least 3, 4, 4.5, 5.0, 5.5, 6.0, 6.2, 6.3, 6.4, 6.5, 6.6, 6.7, or 6.8 and independently preferably is, with increasing preference in the order given, not more than 10, 9, 8.5, 8.2, 8.0, 7.9, 7.8, 7.7, 7.6, 7.5, 7.4, 7.3, or 7.2. Values of pH within these preferred ranges will generally result from using the preferred components noted above in preparing the compositions according to the invention,
10 but the pH value may be adjusted as needed by minor additions of other acidic or basic components as generally known in the art. Values of pH higher than the preferred upper limits given above often result in rapid formation of cobalt containing precipitates, thereby making the compositions unfit for their intended use, while pH values below the preferred lower limits given above are likely to destabilize cobalt(III) sufficiently to impair
15 the desired functioning of the compositions.

 In preparing the compositions according to the invention, components (A) and (B) are preferably mixed together in aqueous solution at first in the absence of other constituents, except for the counterions of components (A) and (B), and component (C) then
20 added to this mixture. Only after components (A), (B), and (C) have been well mixed in solution should any component (D) (except for the air in equilibrium with the aqueous solution) be added. Although the temperature during mixing is not believed to be narrowly restricted, so that any temperature between the freezing and boiling points of the solution may be used, all these additions are most preferably made while the solution
25 is at approximately normal ambient temperature, i.e., 20 - 25 ° C.

 A preferred partial concentrate primary composition according to the invention is an aqueous liquid composition that consists essentially of, or more preferably consists of, water, cobalt(II) cations, carboxylate anions, and any additional counterions needed for the latter two constituents. These partial primary concentrates preferably contain,
30 with increasing preference in the order given, at least 1, 2.0, 2.5, 3.0, 3.3, 3.5, or 3.7 % of cobalt(II) cations and independently preferably have molar ratios of carboxylate and cobalt(II) within the same preferred ranges as are indicated above for working primary

compositions according to the invention. More preferably, the only essential constituents of these concentrates are water, cobalt nitrate, and alkaline earth metal, most preferably magnesium, acetate.

Ordinarily, before treatment with a primary composition according to this invention, a metal substrate surface preferably should be cleaned, and if the substrate is one of the metals such as aluminum and magnesium that are prone to spontaneous formation of thick oxide layers on their surfaces, it should also be deoxidized by processes known *per se* in the prior art, or other suitable processes. Preferred deoxidizing processes are described in the working examples below.

Primary compositions according to the invention can be used in processes according to the invention over a substantial range of temperatures, with formation of protective coatings generally at least slightly faster at higher temperatures within the range. As a generalization, the temperature during contact between a primary composition according to the invention and a metal substrate to be treated preferably is, with increasing preference in the order given, at least 20, 25, 28, 30, 32, 34, 35, 36, or 37 ° C and if, rapid formation of an adequate coating is more important than maximum possible corrosion resistance, more preferably is, with increasing preference in the order given, at least 40, 43, 45, 47, or 49 ° C. Independently, as a generalization, the temperature during contact between a primary composition according to the invention and a metal substrate to be treated preferably is, with increasing preference in the order given, not more than 90, 85, 80, 75, 72, 69, 67, 65, 63, 62, 61, or 60 ° C; and, if maximum possible corrosion resistance is desired, particularly when the metal substrates treated according to this invention are to be used without any painting or similar organic based protective coating, more preferably is, with increasing preference in the order given, not more than 55, 50, 45, 40, 39, or 38 ° C.

Contact between a primary composition according to the invention and the metal substrate being treated in a process according to the invention can be achieved by any convenient method or combination of methods. Immersion and spraying, for example, are both capable of giving completely satisfactory results. Generally, spraying achieves desired coating weights somewhat more rapidly than immersion, perhaps because of more effective mixing of the portion of the liquid primary composition in close proximity to the treated surface with the bulk of the liquid primary composition and/or the greater

opportunity that is provided by spraying for atmospheric oxygen to participate in the coating forming reaction. Whatever the actual reason, at 60° C for spraying the contact time preferably is, with increasing preference in the order given, not less than 5, 10, 20, 30, 40, 50, 60, 65, 70, 75, 80, 85, or 90 seconds (hereinafter usually abbreviated "sec") and independently preferably is, with increasing preference in the order given, not more than 30, 15, 12, 10, 8, 6, 5, 4, 3, 2.5, 2.2, 2.0, 1.8, 1.7, 1.6, or 1.55 minutes (hereinafter usually abbreviated "min"). For immersion at 60° C, the contact time preferably is, with increasing preference in the order given, at least 0.2, 0.5, 0.8, 1.0, 1.5, 2.0, 2.5, 2.8, 3.2, 3.6, or 3.9 min and independently preferably is, with increasing preference in the order given, not more than 30, 25, 20, 15, 12, 9, 8, 7, 6, or 5 min. For immersion at 38° C, the contact time preferably is, with increasing preference in the order given, at least 2, 5, 8, 10, 11, 12, 13, or 14 min and independently preferably is, with increasing preference in the order given, primarily for reasons of economy, not more than 60, 40, 30, 25, 20, 18, 17, or 16 min. At other temperatures, the contact times generally preferably should be greater at lower temperatures and may be shorter at higher temperatures.

After treatment by contact with a primary composition according to the invention, the treated metal surface, now bearing a protective conversion coating, preferably is rinsed with water before being dried or allowed to dry. In many instances, including those in which the primary conversion coating contains cobalt, oxygen, and aluminum, even if the primary coating is not formed according to the invention as described above, but may be formed, for example, according to the teachings of WO94/00619, it is advantageous, in addition to or in lieu of ordinary rinsing that would normally be completed in a minute or less, to maintain contact between water and the surface treated with the primary treatment as described above for a more extended period of time. The total time for this intermediate treatment preferably is, with increasing preference in the order given, at least 1.0, 2.0, 3.0, 3.5, 4.0, 4.3, 4.6, or 4.9 min and independently preferably is, with increasing preference in the order given, primarily for reasons of economy, not more than 60, 30, 20, 10, 8, 7.0, 6.5, 6.0, 5.7, 5.4, or 5.1 min. Ordinarily, this intermediate treatment is preferably accomplished by immersion, because spraying for such relatively long times is likely to result in larger volumes of waste water and/or loss of water by evaporation. Ordinarily, a single immersion for the entire time desired is satisfactory and is preferred because it is more economical, but the total period of immersion can also be

achieved by two or more immersions with an interval of removal of the treated substrate from contact with water between each immersion and the immediately successive immersion if any. The use of an intermediate treatment is particularly preferred when it is to be followed by a sealing treatment containing vanadium along with more than preferred amounts of anionic tungsten.

As already noted, purified water is normally preferred for this intermediate treatment, but an oxidizing agent such as nitrite ions, conveniently added in the form of sodium nitrite, may be included along with the water if desired. The temperature is not narrowly restricted, but ordinarily ambient or slightly higher than ambient temperatures in the range from 20 to 30, or better 26 to 28, ° C are preferred.

For many applications, the protective value of the coating can be further enhanced by a secondary "sealing" treatment with another composition. In related art, sealing compositions containing tungstate ions, vanadium pentoxide, and hydrogen peroxide have been recommended. However, it has now been found that tungstate is at best superfluous and often detrimental to sealing performance, and the most preferred sealing compositions according to this invention consist essentially only of water and vanadate ions and necessary counterions for the vanadate ions. Preferably, these counterions are alkali metal and/or ammonium ions, because most other vanadates are insufficiently soluble in water. Vanadates of any degree of aggregation may be used, but decavanadates are most preferred; sodium ammonium decavanadate with the chemical formula $\text{Na}_2(\text{NH}_4)_4\text{V}_{10}\text{O}_{28}$ is currently most particularly preferred, because it is the least costly commercially available source of decavanadate ions.

The concentration of vanadium atoms present in vanadate ions in a sealing composition according to this invention preferably is, with increasing preference in the order given, at least 0.002, 0.004, 0.007, 0.012, 0.020, 0.030, 0.040, 0.050, 0.055, 0.060, 0.065, 0.068, 0.070, or 0.071 *M* and independently preferably is, with increasing preference in the order given, primarily for reasons of economy, not more than 1.0, 0.5, 0.30, 0.20, 0.15, 0.12, 0.10, 0.090, 0.080, 0.077, 0.074, or 0.072 *M*. The temperature of such a sealing composition, during contact with the previously primarily treated and optionally intermediately treated metal substrate as described above preferably is, with increasing preference in the order given, at least 30, 35, 40, 45, 48, 51, 53, 55, 56, 57, 58 or 59 ° C and independently preferably is, with increasing preference in the order given, not more

than 90, 80, 75, 72, 69, 67, 65, 63, 62 or 61 ° C. At 60° C, the time of contact between such a sealing composition according to this invention and the previously primarily treated and optionally intermediately treated metal substrate as described above preferably is, with increasing preference in the order given, not less than 0.5, 1.0, 2.0, 2.5, 3.0, 3.5, 4.0, 4.3, 4.6, or 4.9 min and independently preferably is, with increasing preference in the order given, primarily for reasons of economy, not greater than 60, 30, 15, 12, 10, 8, 7.0, 6.5, 6.0, 5.7, 5.4, or 5.1 min. For other temperatures during treatment with a sealing composition of this type, shorter times are preferred at higher temperatures and longer times at lower temperatures.

An alternative but less preferred inorganic sealing treatment composition, known from related art and denoted hereinafter as "Inorganic Sealing Treatment Composition MS-1", is an aqueous solution made by reacting 3.0 grams per liter (hereinafter usually abbreviated "g/L") of dispersed finely divided vanadium pentoxide, 10.0 g/L of sodium tungstate, and 3.0 g/L of hydrogen peroxide together in water.

A secondarily preferred sealing treatment composition, denoted hereinafter as "Organic Sealing Treatment Composition #1", contains the following ingredients: 0.9 % of 45 % fluozirconic acid, 1.07 % of 67 % nitric acid, 0.48 % of 75 % orthophosphoric acid, and 22.6 % of an aqueous solution containing 30.4 % solids of a water soluble gluc-amino-substituted polymer of vinyl phenol made according to the directions of column 11 lines 39 - 52 of U. S. Patent 4,963,596, with the balance being deionized or otherwise purified water, plus any aqueous ammonia needed to adjust the pH of the sealing treatment composition to 4.0. Other suitable sealing treatment compositions are taught in U. S. Patent 5,226,976, the entire disclosure of which, except to the extent contrary to any explicit statement herein, is hereby incorporated herein by reference.

It has been noted that when either a preferred sealing composition according to this invention or Inorganic Sealing Treatment Composition MS-1 was used repeatedly without replenishment, a light absorption peak within the wavelength range from 650 to 850 nm was observable in the used sealing compositions, which were free of any significant absorption in this wavelength range when freshly prepared. The amount of light absorption in this wavelength range was 2 to 3 times greater with a highly preferred sealing composition containing only water and 7.5 g/L of sodium ammonium decavanadate than with a formerly preferred sealing composition made by reacting sodium tungstate, va-

nadium pentoxide, and hydrogen peroxide in aqueous solution. In either case, the light absorption in this wavelength range that developed during use of the sealing compositions disappeared if the used compositions were aerated for several hours. Without intending to limit the scope of the invention by any theory, it is hypothesized that (i) vanadium compounds in the sealer composition interact chemically with the layer formed by a primary treatment according to the invention and/or with the underlying substrate so as to produce a new chemical species dissolved in the sealing composition, (ii) something about this chemical interaction improves the corrosion resistance of the final coating formed, and (iii) this favorable interaction with the layer formed by the primary treatment is inhibited or varied to a less favorable interaction by the presence of tungstate in the sealing composition.

Preferably, the primary coatings sealed with a sealing treatment as described above contain at least 5, or, with increasing preference in the order given, at least 10, 15, 20, or 25 atomic percent in total of metals selected from the group consisting of aluminum, cerium, cobalt, molybdenum, titanium, tungsten, vanadium, zinc, and zirconium, more preferably from the group consisting of aluminum and cobalt. Independently, these primary coatings sealed with a sealing treatment as described above contain at least 5, or, with increasing preference in the order given, at least 10, 15, 20, or 25 atomic percent of oxygen.

After any sealing treatment used, the treated metal surfaces preferably are again rinsed before drying or being allowed to dry. If heat is used to accelerate drying, the temperature of the metal during drying preferably does not exceed, with increasing preference in the order given, 100, 85, 75, 66, or 60 ° C, in order to avoid damage to the protective quality of the coating formed by a process according to the invention

After primary treatment according to the invention, intermediate and sealing treatments if desired, and drying, a metal substrate is well suited as a base for paint or any similar organic based protective coating, which may be applied in any manner known *per se* in the art. With the most preferred primary, intermediate, and secondary treatments according to this invention, aluminum substrates often have superior corrosion resistance even without any organic based protective coating.

The invention may be further appreciated by consideration of the following non-limiting working examples and test results.

Example 1

A working primary composition according to the invention was prepared as follows: 1063 grams of an aqueous solution of cobalt(II) nitrate containing 13 % of cobalt and 670 grams of magnesium acetate tetrahydrate were added to about 15 liters of deionized water. After these ingredients had been thoroughly mixed at ambient temperature, aeration of the liquid mixture was begun, 131 grams of triethanolamine of 99 % purity was added, and after thorough mixing of this ingredient had been accomplished, 168 grams of an aqueous solution of hydrogen peroxide containing 35 % H_2O_2 was added. This liquid mixture was then diluted to a total volume of 30.3 liters with additional deionized water, to produce a liquid solution according to the invention that, when diluted to 10 times its initial volume with deionized water, produces a test liquid with an absorbance of UV light at 362 nm wavelength, over a 1 cm long transmission path, in the range from 4 to 40 %. Heating of the liquid was begun, and aeration was continued until the temperature of the mixture had been raised to 54 ± 1 ° C, the selected working temperature, which was maintained during use of this primary composition according to the invention as described below.

Rectangular panels of Type 2024-T3 aluminum alloy that were 7.6×25.4 centimeters in size were the substrates used. These substrates were subjected to the following process steps, in which all products identified by the symbol "®" are available from the Parker Amchem Division of Henkel Corp., Madison Heights, Michigan:

1. Clean by immersion for 5 min at 60° C in an aqueous solution containing 15 g/L of RIDOLINE® 53 silicate inhibited alkaline cleaner.
2. Rinse with hot water.
3. Deoxidize by immersion for 5 min at 21° C in an aqueous solution containing 8.75 volume % of DEOXALUME® 2200 Deoxidizer Make up concentrate and 10.0 volume % of DEOXALUME® 2200 Deoxidizer Additive Supplemental Concentrate.
4. Rinse with cold water.
5. Immerse in the working primary composition according to the invention described next above at the temperature also noted above for 4 min.
6. Remove from contact with the working primary composition according to the invention and rinse with cold water.

7. Immerse for 5 min at 60 ± 1 ° C in Inorganic Sealing Treatment Composition MS-1 as described above.
8. Remove from contact with the Inorganic Sealing Treatment Composition MS-1 and rinse with cold water.
9. Dry by blowing with air and/or in an oven at 32 to 66 ° C.
10. For some of the panels, coat successively with Crown Metro™ 10-P4-2 Epoxy Primer and 443-03-1000 Topcoat.

The panels not subjected to step 10 above were tested in standard salt spray for one week and exhibited no pits or discoloration. The panels subjected to step 10 were scribed and then tested in standard salt spray. No creepage away from the scribe was detectable after 1000 hours of salt spray exposure.

Example 2

The substrates in this example were made of aluminum casting alloy. They were subjected to the following process steps, in which all products identified by the symbol "®" are available from the Parker Amchem Division of Henkel Corp., Madison Heights, Michigan:

1. Clean by immersion for 2 min at 60° C in an aqueous solution containing 22 g/L of RIDOLINE® 336 alkaline cleaner.
2. Rinse with hot water.
3. Deoxidize by immersion for 2 min at 21° C in an aqueous solution containing 2 volume % of DEOXALUME® HX-357 concentrate.
4. Rinse with cold water.
5. Spray for 2 min at 54 ± 1 ° C with the same working primary composition according to the invention as for Example 1.
6. Remove from contact with the working primary composition according to the invention and rinse with cold water.
7. Immerse for 2 min at 38 ± 1 ° C in Organic Sealing Treatment Composition #1 as described above.
8. Remove from contact with the Organic Sealing Treatment Composition #1 and rinse with cold water.
9. Dry by blowing with air and/or in an oven at 32 to 66 ° C.
10. Coat with epoxy powder coating.

Scribed substrates treated as described above developed no detectable creepback from the scribe after 1000 hours of standard salt spray testing. Samples immersed in water at 71 ± 1 ° C for seven consecutive days, then scratched through to the substrate, taped with adhesive tape across the scratch area, and subjected to peeling away the tape thus applied showed no loss of coating adhesion.

Example Group 3

In this group the primary treatment composition and test substrates were the same as for Example 1 and most of the process steps were the same, except that (i) the temperature and time of the primary treatment were varied; (ii) the composition of the sealing treatment used in step 7 was varied; (iii) in many cases an additional intermediate step (6') of immersing the substrates for 5 min in plain deionized water or in a solution of 10 g/L of sodium nitrite in deionized water, in either case maintained at 26.7 ± 1.0 ° C, was used between steps 6 and 7; and (iv) none of the substrates was finally painted. The various conditions and some results are shown in Table 1 below.

TABLE 1

Identification Number	Prim. Treat.		Sealing Treatment					Salt Spray Test Results	
	° C	Time, Min	Conc. in g/L of:			Int. Treat. ?	g/m ² of Co after	After 1 Week	After 2 Weeks
			ST	SADV	V ₂ O ₅ ^a				
3.1	38	6	10.0	0.0	3.0	Y	0.060	Failed	
3.2	38	7	10.0	0.0	3.0	Y	0.085	Failed	
3.3	38	8	10.0	0.0	3.0	Y	0.100	Failed	
3.4	38	9	10.0	0.0	3.0	Y	0.121	Failed	
3.5	38	10	10.0	0.0	3.0	Y	0.115	Failed	
3.6	38	11	10.0	0.0	3.0	Y	0.139	Failed	
3.7	38	12	10.0	0.0	3.0	Y	0.140	Failed	
3.8	38	15	10.0	0.0	3.0	Y	0.178	Failed	
3.9	38	15	10.0	0.0	3.0	Y	0.203	Failed	
3.10	38	15	0.0	7.5	0.0	Y	0.141	Perfect	Perfect
3.11	38	15	10.0	0.0	3.0	N	0.158	Perfect	Failed

... Table continued on next page ...

Identification Number	Prim. Treat.		Sealing Treatment					Salt Spray Test Results	
	° C	Time, Min	Conc. in g/L of:			Int. Treat. ?	g/m ² of Co after	After 1 Week	After 2 Weeks
			ST	SADV	V ₂ O ₅ *				
3.12	38	15.3	1.0	7.5	0.0	Y	0.183	Perfect	Perfect
3.13	38	15.3	3.0	7.5	0.0	Y	0.154	Perfect	3 Pits
3.14	38	15.3	5.0	7.5	0.0	Y	0.203	Failed	
3.15	38	15.3	6.0	7.5	0.0	Y	0.153	Failed	
3.16	60	2.0	10.0	0.0	3.0	Y	0.174	Perfect	Failed
3.17	60	3.0	10.0	0.0	3.0	Y	0.264	Perfect	>12 Pits
3.18	60	2.0	6.0	7.5	0.0	Y	0.188	2 Pits	4 Pits
3.19	60	2.0	10.0	0.0	3.0	N	0.170	Failed	
3.20	60	2.0	6.0	7.5	0.0	N	0.181	Perfect	2 Pits
3.21	60	2.0	10.0	0.0	3.0	Y†	0.176	Failed	
3.22	60	2.0	6.0	7.5	0.0	Y†	0.173	Perfect	Failed
3.23	53	3.0	10.0	0.0	3.0	Y†	0.165	Perfect	Failed
3.24	53	4.0	10.0	0.0	3.0	Y†	0.215	Failed	
3.25	61	2.0	6.0	7.5	0.0	Y†	0.192	Perfect	2 Pits
3.26	61	2.0	6.0	7.5	0.0	N	0.214	Perfect	Failed
3.27	57	1.5	10.0	0.0	3.0	N	0.107	Perfect	Failed

Footnotes for Table I

*Sealing compositions that contained this component also contained 3.0 g/L of H₂O₂.

†Where this symbol appears, the intermediate treatment contained 10 g/L of NaNO₂ in deionized water; otherwise, the intermediate treatment was with deionized water alone.

Abbreviations and Other Notes for Table I

"Prim." means "Primary"; "Treat." means "Treatment"; "Conc." means "Concentration"; "Int." means "Intermediate"; "ST" means "Sodium Tungstate"; "SADV" means Sodium Ammonium Decavanadate; "Y" means "Yes"; "N" means "No"; "Dis." means "Discoloration".

The values shown for g/m² of cobalt were averages from two panels at each condition for which this value is shown.

No values for salt spray testing after 2 weeks are shown for those conditions which led to failure after 1 week, because such values would be certain to be failures.

CLAIMS

1. A process for improving the corrosion protection afforded to an underlying metal substrate by an adherent primary coating containing metal atoms and oxygen atoms formed over the metal substrate, said process comprising the steps of:

- 5 (I) contacting the adherent primary coating, for a time effective to improve the resistance to corrosion in a salt spray test of the underlying metal substrate after completion of the process, with an aqueous sealing composition consisting essentially of water, dissolved vanadate anions, and dissolved counterions for the vanadate anions and containing not more than about 0.030 *M* in total of tungsten
10 in any anionic form;
- (II) discontinuing the contacting performed in step (I) and drying the surface of the metal substrate at a temperature not greater than about 100° C.
2. A process according to claim 1, wherein the metal substrate is aluminum or an aluminum alloy containing at least 45 % of aluminum and the adherent primary coating
15 is a primary conversion coating that has been formed on the metal substrate by contacting the substrate with a primary aqueous composition made by reaction in aqueous solution among the following components:
- (A) cobalt(II) cations;
- (B) carboxylate anions;
- 20 (C) chemical species, exclusive of carboxylate anions, that form more stable coordination bonds with cobalt(III) cations than with cobalt(II) cations; and
- (D) an oxidizing agent in an amount sufficient to produce an increase in the ultraviolet light absorption at some wavelength in the range from about 160 to about 450 nanometers, compared to a precursor composition containing only water and
25 components (A), (B), and (C), any counterions of components (A), (B), and (C) that are not part of components (A), (B), and (C), and products of reaction among these components,

wherein the ratio of the number of moles of component (B) to the number of moles of component (A) in the aqueous solution prior to reaction is from about 0.10 to about 6.8
30 and the content in the primary composition of each of nitrite ions, ammonia, and ammonium ions is not greater than 1.0 %.

3. A process according to claim 2, wherein the concentration of vanadium atoms in the form of decavanadate ions in the aqueous sealing composition is from about 0.007 to about 0.50 M, the temperature of the sealing composition during its contact with the primary conversion coating is from about 40 to about 75 ° C, and the time of contact between the sealing composition and the primary conversion coating is from about 1.0 to about 30 minutes.

4. A process according to claim 3, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate with a primary aqueous composition having a pH value from about 4.5 to about 8.5 and consisting essentially of water and the following components:

- (A) from about 0.008 to about 0.8 M of cobalt atoms;
- (B) a molar concentration of carboxylate anions that is from about 0.4 to about 4.0 times the molar concentration of cobalt atoms;
- (C) a total molar concentration of organic amines that is from about 0.06 to about 2.0 times the molar concentration of cobalt atoms;
- (D) a total molar concentration of peroxide compounds that is from about 0.10 to about 5 times the molar concentration of cobalt atoms; and
- (E) a molar concentration of nitrate ions that is from about 0.1 to about 10 times the molar concentration of cobalt atoms.

5. A process according to claim 4, wherein the concentration of vanadium atoms in the form of decavanadate ions in the aqueous sealing composition is from about 0.020 to about 0.30 M, the temperature of the sealing composition during its contact with the primary conversion coating is from about 45 to about 72 ° C, and the time of contact between the sealing composition and the primary conversion coating is from about 2.0 to about 30 minutes.

6. A process according to claim 5, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate with a primary aqueous composition having a pH value from about 5.0 to about 8.0 and consisting essentially of water and the following components:

- (A) from about 0.016 to about 0.6 M of cobalt atoms;
- (B) a molar concentration of carboxylate anions that is from about 0.8 to about 3.7

times the molar concentration of cobalt atoms;

- (C) a total molar concentration of organic amines that is from about 0.13 to about 1.75 times the molar concentration of cobalt atoms;
- (D) a total molar concentration of peroxide that is from about 0.20 to about 3 times the molar concentration of cobalt atoms; and
- (E) a molar concentration of nitrate ions that is from about 0.4 to about 5 times the molar concentration of cobalt atoms.

7. A process according to claim 6, wherein the concentration of vanadium atoms in the form of decavanadate ions in the aqueous sealing composition is from about 0.030 to about 0.20 M, the temperature of the sealing composition during its contact with the primary conversion coating is from about 48 to about 67 ° C, and the time of contact between the sealing composition and the primary conversion coating is from about 2.5 to about 12 minutes.

8. A process according to claim 7, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate with a primary aqueous composition having a pH value from about 5.5 to about 7.9 and consisting essentially of water and the following components:

- (A) from about 0.016 to about 0.6 M of cobalt atoms;
- (B) a molar concentration of carboxylate anions that is from about 1.2 to about 3.4 times the molar concentration of cobalt atoms;
- (C) a total molar concentration of organic amines that is from about 0.20 to about 1.50 times the molar concentration of cobalt atoms;
- (D) a total molar concentration of peroxide that is from about 0.30 to about 2 times the molar concentration of cobalt atoms; and
- (E) a molar concentration of nitrate ions that is from about 0.6 to about 3.5 times the molar concentration of cobalt atoms.

9. A process according to claim 8, wherein the concentration of vanadium atoms in the form of decavanadate ions in the aqueous sealing composition is from about 0.040 to about 0.12 M, the temperature of the sealing composition during its contact with the primary conversion coating is from about 53 to about 65 ° C, and the time of contact between the sealing composition and the primary conversion coating is from about 3.0 to

about 8 minutes.

10. A process according to claim 9, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate with a primary aqueous composition having a pH value from about 6.0 to about 7.8 and consisting essentially
5 of water and the following components:

- (A) from about 0.032 to about 0.4 M of cobalt atoms;
- (B) a molar concentration of carboxylate anions that is from about 1.5 to about 3.1 times the molar concentration of cobalt atoms;
- (C) a total molar concentration of organic amines that is from about 0.24 to about
10 1.00 times the molar concentration of cobalt atoms;
- (D) a total molar concentration of peroxide that is from about 0.40 to about 1.5 times the molar concentration of cobalt atoms; and
- (E) a molar concentration of nitrate ions that is from about 0.8 to about 3.0 times the molar concentration of cobalt atoms.

11. A process according to claim 10, wherein the concentration of vanadium atoms
15 in the form of decavanadate ions in the aqueous sealing composition is from about 0.050 to about 0.10 M, the temperature of the sealing composition during its contact with the primary conversion coating is from about 55 to about 63 ° C, the time of contact between the sealing composition and the primary conversion coating is from about 3.5 to about
20 7 minutes, and the temperature in step (II) is not greater than about 66° C.

12. A process according to claim 11, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate, at a temperature from about 35 to about 80 ° C either by spraying for from about 10 sec to about 5 minutes or by immersion for from 1.0 to 12 minutes, with a primary aqueous composition
25 having a pH value from about 6.2 to about 7.7 and consisting essentially of water and the following components:

- (A) from about 0.045 to about 0.2 M of cobalt atoms;
- (B) a molar concentration of carboxylate anions that is from about 1.8 to about 3.0 times the molar concentration of cobalt atoms;
- (C) a total molar concentration of organic amines that is from about 0.28 to about
30 0.75 times the molar concentration of cobalt atoms;

- (D) a total molar concentration of peroxide that is from about 0.50 to about 1.0 times the molar concentration of cobalt atoms; and
- (E) a molar concentration of nitrate ions that is from about 1.0 to about 2.8 times the molar concentration of cobalt atoms.

5 13. A process according to claim 12, wherein the concentration of vanadium atoms in the form of decavanadate ions in the aqueous sealing composition is from about 0.055 to about 0.09 *M*, the temperature of the sealing composition during its contact with the primary conversion coating is from about 57 to about 63 ° C, and the time of contact between the sealing composition and the primary conversion coating is from about 4.0
10 to about 6.5 minutes.

14. A process according to claim 13, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate, at a temperature from about 40 to about 75 ° C either by spraying for from about 30 sec to about 3 minutes or by immersion for from 2.0 to 8 minutes, with a primary aqueous composition
15 having a pH value from about 6.4 to about 7.6 and consisting essentially of water and the following components:

- (A) from about 0.055 to about 0.14 *M* of cobalt atoms;
- (B) a molar concentration of carboxylate anions that is from about 2.2 to about 2.9 times the molar concentration of cobalt atoms;
- 20 (C) a total molar concentration of organic amines that is from about 0.32 to about 0.60 times the molar concentration of cobalt atoms;
- (D) a total molar concentration of peroxide that is from about 0.65 to about 0.90 times the molar concentration of cobalt atoms; and
- (E) a molar concentration of nitrate ions that is from about 1.2 to about 2.4 times the
25 molar concentration of cobalt atoms.

15. A process according to claim 14, wherein the concentration of vanadium atoms in the form of decavanadate ions in the aqueous sealing composition is from about 0.060 to about 0.080 *M*, the temperature of the sealing composition during its contact with the primary conversion coating is from about 57 to about 63 ° C, and the time of contact
30 between the sealing composition and the primary conversion coating is from about 4.3 to about 6.0 minutes.

16. A process according to claim 15, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate, at a temperature from about 45 to about 65 ° C either by spraying for from about 1.0 to about 2.0 minutes or by immersion for from 2.8 to 6 minutes, with a primary aqueous composition having
5 a pH value from about 6.5 to about 7.4 and consisting essentially of water and the following components:

- (A) from about 0.063 to about 0.14 *M* of cobalt atoms;
- (B) a molar concentration of carboxylate anions that is from about 2.3 to about 2.8 times the molar concentration of cobalt atoms;
- 10 (C) a total molar concentration of organic alkoxyl amines that is from about 0.34 to about 0.45 times the molar concentration of cobalt atoms;
- (D) a total molar concentration of peroxide that is from about 0.68 to about 0.80 times the molar concentration of cobalt atoms; and
- (E) a molar concentration of nitrate ions that is from about 1.6 to about 2.2 times the
15 molar concentration of cobalt atoms.

17. A process according to claim 16, wherein the concentration of vanadium atoms in the form of decavanadate ions in the aqueous sealing composition is from about 0.068 to about 0.077 *M*, the temperature of the sealing composition during its contact with the primary conversion coating is from about 57 to about 63 ° C, and the time of contact
20 between the sealing composition and the primary conversion coating is from about 4.3 to about 5.7 minutes.

18. A process according to claim 17, wherein the adherent primary conversion coating has been formed on the metal substrate by contacting the substrate, at a temperature from about 49 to about 60 ° C either by spraying for from about 90 sec to about 1.55
25 minutes or by immersion for from 3.9 to 5 minutes, with a primary aqueous composition having a pH value from about 6.8 to about 7.2 and consisting essentially of water and the following components:

- (A) from about 0.074 to about 0.080 *M* of cobalt atoms;
- (B) a molar concentration of acetate anions that is from about 2.5 to about 2.8 times
30 the molar concentration of cobalt atoms;
- (C) a molar concentration of triethanol amine that is from about 0.35 to about 0.41

times the molar concentration of cobalt atoms;

(D) a molar concentration of hydrogen peroxide that is from about 0.71 to about 0.77 times the molar concentration of cobalt atoms; and

(E) a molar concentration of nitrate ions that is from about 1.8 to about 2.2 times the molar concentration of cobalt atoms.

19. A process for improving the corrosion protection afforded to an underlying metal substrate, said process comprising steps of:

(i) forming on the metal substrate an adherent primary conversion coating containing cobalt and oxygen atoms; and

(ii) contacting the adherent primary conversion coating, for a time effective to improve the resistance to corrosion in a salt spray test of the underlying metal substrate after completion of the process, with an aqueous sealing composition comprising dissolved vanadate in one or more anionic forms, and, optionally, also comprising dissolved tungsten in one or more anionic forms; and

(iii) discontinuing the contacting performed in step (i) and drying the surface of the metal substrate at a temperature not greater than about 100° C,

wherein the improvement comprises utilizing an additional intermediate step, between steps (i) and (ii), of contacting the adherent primary conversion coating with purified water, optionally containing an oxidizing agent, for a period of at least 1 minute.

20. A process according to claim 19, wherein (a) step (i) is performed by contacting the metal substrate, for an effective time to form a primary conversion coating thereon, with an aqueous liquid composition comprising products of reaction in aqueous solution among:

(A) cobalt(II) cations;

(B) carboxylate anions;

(C) chemical species, exclusive of carboxylate anions, that form more stable coordination bonds with cobalt(III) cations than with cobalt(II) cations; and

(D) an oxidizing agent in an amount sufficient to produce an increase in the ultraviolet light absorption at some wavelength in the range from about 160 to about 450 nanometers, compared to a precursor composition containing only water and components (A), (B), and (C), any counterions of components (A), (B), and (C)

that are not part of components (A), (B), and (C), and products of reaction among these components;

- (b) the period of contacting the primary conversion coating with purified water in the intermediate step is at least 3 minutes; and (c) the sealing composition contains dissolved tungsten in one or more anionic forms in an amount stoichiometrically equivalent to at least 1.0 g/L of sodium tungstate.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/03307

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C23C 22/83, 22/40, 22/56

US CL : 148/256, 265, 272, 273, 275

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 148/256, 265, 272, 273, 275

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,828,615 (CAPE) 09 May 1989, col. 2, lines 10-20.	1-20
Y	US, A, 5,298,092 (SCHRIEVER) 29 March 1994, col. 13, lines 15-50.	1-20
Y	WO, A, 94/00619 (THE BOEING COMPANY) 06 January 1994, pages 12 and 14.	1-20
Y	US, A, 4,504,325 (MOJI) 12 May 1985. col. 1, lines 30-40, and col. 2, lines 20-35.	1-20

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A' document defining the general state of the art which is not considered to be of particular relevance	* X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E' earlier document published on or after the international filing date	* Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L' documents which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* g	document member of the same patent family
* O' documents referring to an oral disclosure, use, exhibition or other means		
* P' document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 13 MAY 1996	Date of mailing of the international search report 31 MAY 1996
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer Sam Silverberg Telephone No. (703) 305-9646

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.